

# MODULE 8

## Case study on filling the assessment matrix

**Duration:** 3 hours

**Prerequisites:** Modules 4, 6, 7



### Key questions:

1. How is the module organized?
2. Which tools can be used?
3. Is there a unique solution to the exercise?
4. Why is this exercise important?



### Objectives:

The objective of this module is to have participants carry out the first step of the ABND process, namely to complete the assessment matrix. This module is designed to give participants practical experience in the process of conducting the ABND exercise through reading and analysing case studies based on a fictitious country, Coresia. By working in groups, participants are encouraged to exchange ideas and solutions.



### Overview:

#### How is this module organized?

Six case studies have been developed. They describe the social protection situation in Coresia related to access to health care, income security for children, income security for the working age group, and income security for the elderly. Two additional case studies have been designed covering maternity care and HIV.

Participants are divided in six groups (one for each case study). Distribution of the participants into groups may be decided beforehand to ensure that each group has a mix of participants from different countries and backgrounds and people with experience in that guarantee field.

Group No. 1	Case study No. 1: The challenge of improving health of the people in Coresia
Group No. 2	Case study No. 2: The challenge of providing adequate education, childcare, and nutrition in Coresia
Group No. 3	Case study No. 3: The challenge of providing income security to the working age population in Coresia
Group No. 4	Case study No. 4: The challenge of providing income security to the elderly people in Coresia
Group No. 5	Case study No. 5: The challenge of providing universal maternity care in Coresia
Group No. 6	Case study No. 6: The challenge of combating HIV and syphilis in Coresia

The activities and tentative durations are described in the table below:

Table 1. Timetable for the case study exercise on filling the assessment matrix

Tentative duration	Activity	Who does what
30 minutes	Study the case individually	Individually
2 hours	Discuss the case within the group	Group discussion
	Compile research conducted individually	Facilitator
	Discuss and draft possible recommendations	Facilitator
	Complete the matrix	Note-taker
5 minutes per group	Present the matrix to all the participants	Presenter

Each group has a facilitator to ensure that the discussion stays focused and a note-taker in charge of filling in the matrix.

During the discussion, participants may share their analysis of the situation and compile all the research conducted individually. Participants may then collectively create a list of possible recommendations.

After completing the matrices, each group nominates one member to summarize their given case to the rest of the participants and explain the completed assessment matrix.

**Questionnaire (to study the case individually)**

Participants can fill in the inventory table of existing social protection schemes and answer the questions related to the social protection strategy, policy gaps and implementation issues.

a) Inventory table on existing social protection schemes:

Table 2. Blank inventory table on existing social protection schemes

Blank inventory table							
Scheme name	Legal framework	Responsible body	Target group	Eligibility criteria	Actual population covered	Benefits	Financing

b) What is foreseen in the government's strategy?

.....  
.....  
.....  
.....

c) What are the main policy gaps?

- No. 1 Is the social protection floor achieved? (Does the entire population have access to the guarantee?)
- No. 2 Has the country developed a legal framework to guarantee access to social protection benefits?
- No. 3 Has the country developed rules and regulations to ensure that the law can be implemented?
- No. 4 Are all the people covered by the social security law? If not, who is not covered?
- No. 5 Are some of the schemes established on a pilot or ad-hoc basis without any legal base?
- No. 6 For those covered by the social security law, is the level of protection sufficient and adapted to the peoples' needs?
- No. 7 Are the benefit packages clearly defined?

d) What are the main implementation issues?

- No. 1 What proportion of the population is entitled to social security but has no access to social protection benefits?
- No. 2 Is the supply of social services adequate in all parts of Coresia?
- No. 3 Is the population aware of existing social protection schemes and of their entitlements?
- No. 4 Are all programmes efficiently managed and properly staffed? Do they rely on a proper management information system?

### Completing the assessment matrix

Based on individual research and the discussion within the group, each group shall collectively build the following assessment matrix (see table 3).

### Is there a unique solution to the exercise?

A sample solution with completed matrix is provided in the e-box. However, this solution should not be considered as the only solution to the exercise. New ideas and recommendations may emerge through discussions that are not mentioned in the sample solution. This solution may be used by trainers as a reference to understand the purpose of the case study exercise. It is encouraged to allow the discussion to flow naturally while conducting this session rather than guiding participants to the sample solution.

Table 3. Blank assessment matrix

ASSESSMENT MATRIX					
Group: “.....”					
Government strategy	Existing provisions		Differences from SPF		Recommendations
	Scheme	Overview of scheme	Policy gaps	Implementation issues	

### Why is this exercise important?

This simulation prepares participants for real-life experiences. Participants are trained to do a literature review (by reading all the cases), to describe the schemes and their key parameters, to identify policy gaps and implementation issues (and quantify the gaps and issues, if possible), to discuss possible solutions to complete the social protection floor, and to set priorities among possible recommendations.

Although the cases represent a fictitious country, they are based on actual situations and recommendations formulated during ABND exercises in various ASEAN countries. For instance:

- The case on health is loosely based on the social protection situation and recommendations to extend coverage in Indonesia.
- The case on children aims at introducing a child support grant such as in Thailand or Cambodia.
- The case on income security for the working age group was built on the recommendations to develop a skills development programme in Thailand and to establish a public works programme in Viet Nam.
- The case on income security for older people is based on the recommendations to expand the minimum pension system in Viet Nam and to index the old-age minimum allowance in Thailand.
- The case on maternity is inspired by the recommendation to establish a maternity benefit in Thailand.
- The case on HIV/AIDs relates to the recommendation to introduce anti-retroviral treatment for people living with HIV and interventions to reduce mother-to-child-transmission of HIV and syphilis in Indonesia.



## Takeaway message:

Completing the assessment matrix is composed of two main steps:

- understanding the social protection situation and comparing it with the SPF benchmarks; and
- coming up with a shared diagnosis of the social security situation and jointly proposing relevant solutions.

The first step is mainly conducted through a literature review and bilateral consultations. The second step requires broader consultations and the confrontation of different opinions, which cannot be achieved without a proper national dialogue.

Through this module, participants will familiarize themselves with existing tools (e.g. the inventory table on existing social protection schemes and the assessment matrix), conduct a literature review by reviewing the “case” individually, exercise their judgement by identifying policy gaps and implementation issues, and simulate a national dialogue to come up with a shared diagnosis of the social security situation and prioritize among several recommendations.



textbook



e-box

## Resources:

	Master module 8 – Case study on filling the assessment matrix	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study and assessment matrix		<input checked="" type="checkbox"/>
	Introductory part for all case studies	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 1: The challenge of improving health of the people in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 2: The challenge of providing adequate education, childcare, and nutrition in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 3: The challenge of providing income security to the working age population in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 4: The challenge of providing income security to the elderly people in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 5: The challenge of providing universal maternity care in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Case study No. 6: The challenge of combating HIV and syphilis in Coresia and sample solution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Blank assessment matrix (to be filled in)		<input checked="" type="checkbox"/>
	Questionnaire (to study the case individually)		<input checked="" type="checkbox"/>

e-box available at: <http://www.social-protection.org/gimi/pages/abnd/>